

1. _____ shows the history of mutual fund's performance. (rolling returns, standard returns, fixed returns)
2. In Dividend reinvestment option, the investor chooses to _____ the dividend in the scheme. (invest, reinvest, redeem)
3. _____ gives the roadmap to your financial decisions. (Tax, Finance, Financial Planning)
4. _____ is one of the identity proof submitted during Know Your Customer process. (Ration card, Birth certificate, Aadhar card)
5. _____ are usually large banks. (SRO, Custodian, Company law board)
6. Ethics refers to rules of good _____ that is applied in every walk of life. (discipline, conduct, practice)
7. A sponsor has to contribute atleast _____ to the net worth of asset management company. (40%, 50%, 60%)
8. SEBI has launched the Securities Market Awareness campaign in _____. (January 2003, June 2003, July 2003)
9. A mutual fund is owned by _____. (The government of India, SEBI, all its investors)
10. The most important feature of the contingency fund is _____
a) Liquidity b) Good returns c) Low maintenance cost d) Flexibility
11. The SEBI has made it mandatory for mutual funds to declare a _____. (ICRA, dividend, benchmark)
12. Bond pays periodic payments called as _____. (YTM, dividends, coupons)
13. The most important feature of a contingency fund is _____. (liquidity, transparency, disclosure)
14. Credit rating agencies assigns _____ to the mutual fund schemes. (value, rating, colour code)
15. In the _____ phase, the permission was given private sector to enter into mutual fund industry (First, second, third)
16. SEBI launched a centralised web – based complaints redress system, known as _____ in June 2011. (SCORES, CARE, CAMY-C)
17. SEBI replaces colour coding of mutual fund products with _____. (riskparameter, riskometer, riskoscale)
18. Bonds are _____ term securities, issued by government. (short, medium, long)

19. The day on which NAV is calculated by a fund is known as _____. (Computation date, valuation date, book closure date)
20. An advisor charges a _____ from investor. (commission, fee, tax)
21. Give the full form of : AMFI (Authority of Mutual Funds in India, Association of Mutual Finance in India, Association of Mutual Funds in India, none)
22. Give the full form of : SRO (Securities Regulatory authority, Self regulatory automation, Supreme regulatory authority, Self regulatory authority)
23. Calculate NAV of ABC mutual fund with following information. Number of units outstanding - 60000 ; Market value of investment in stocks - Rs. 15,00,000 ; Market value of investment in Govt. Securities - Rs. 9,00,000 ; Other assets of the fund: Rs. 1,50,000 ; Total Liabilities & payables Rs. 75,500
(Rs. 40, Rs. 41.24, Rs. 43.89, Rs. 45.36)((
24. Who sets up an asset management company?
(transfer agent, registrar, fund manager, promoter)
